

01-25-01 EL465854300 A

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PTO/SB/05 (4/98)
Approved for use through 09/30/2000. OMB 0651-0032

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Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

NONPROVISIONAL
(Only for new nonprovisional applications under 37 C.F.R. § 1.53(h))

Attorney Docket No. MI55-003

First Inventor or Application Identifier Graham Wolstenholme

Title | Methods of Forming Flash Memory

Express Mail Label No. EL 465854300 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

| | |
|---|---|
| 1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing) | 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) |
| 2. <input checked="" type="checkbox"/> Specification [Total Pages 33] (preferred arrangement set forth below) | 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) |
| - Descriptive title of the Invention Plus title page | a. <input type="checkbox"/> Computer Readable Copy |
| - Cross References to Related Applications | b. <input type="checkbox"/> Paper Copy (identical to computer copy) |
| - Statement Regarding Fed sponsored R & D | c. <input type="checkbox"/> Statement verifying identity of above copies |
| - Reference to Microfiche Appendix | |
| - Background of the Invention | |
| - Brief Summary of the Invention | |
| - Brief Description of the Drawings (if filed) | |
| - Detailed Description | |
| - Claim(s) | |
| - Abstract of the Disclosure | |
| 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 4] plus 4 sheets from parent | 7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) |
| 4. Oath or Declaration application [Total Pages 2] | 8. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) <input type="checkbox"/> Attorney |
| a. <input type="checkbox"/> Newly executed (original or copy) | 9. <input type="checkbox"/> English Translation Document (if applicable) |
| b. <input checked="" type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (for continuation/divisional with Box 16 completed) | 10. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations |
| i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). | 11. <input checked="" type="checkbox"/> Preliminary Amendment |
| | 12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) |
| | 13. <input type="checkbox"/> * Small Entity <input type="checkbox"/> Statement filed in prior application (PTO/SB/09-12) <input type="checkbox"/> Status still proper and desired |
| | 14. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) |
| | 15. <input checked="" type="checkbox"/> Other: Check for \$1,622.00 |

*** NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) **09/260,182** of prior application No:

Prior application information: **Examiner** **R. Booth**

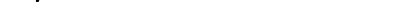
of prior application No: 09/260,182

Group / Art Unit: 2812

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

| | | | | | |
|---|---|--|----------------|----------|----------------|
| <input checked="" type="checkbox"/> Customer Number or Bar Code Label | 021567 (Insert Customer No. or Attach bar code label here) | or <input type="checkbox"/> Correspondence address below | | | |
| Name | Mark S. Matkin Wells, St. John, Roberts, Gregory & Matkin P.S. | | | | |
| Address | 601 West First Avenue, Suite 1300 | | | | |
| City | Spokane | State | WA | Zip Code | 99201-3828 |
| Country | | Telephone | (509) 624-4276 | Fax | (509) 838-3424 |

| | | | |
|-------------------|---|-----------------------------------|--------------|
| Name (Print/Type) | Mark S. Matkin | Registration No. (Attorney/Agent) | 32,268 |
| Signature |  | | Date 1/23/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 1,622.00)

Complete if Known

| | |
|----------------------|---------------------|
| Application Number | Unknown |
| Filing Date | Filed Herewith |
| First Named Inventor | Graham Wolstenholme |
| Examiner Name | Unknown |
| Group / Art Unit | Unknown |
| Attorney Docket No. | MI55-003 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 23-0295
Deposit Account Name Wells, St. John, Roberts

Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|-----------------|---------------------------|
| 101 | 790 | 201 | 395 Utility filing fee |
| 106 | 330 | 206 | 165 Design filing fee |
| 107 | 540 | 207 | 270 Plant filing fee |
| 108 | 790 | 208 | 395 Reissue filing fee |
| 114 | 150 | 214 | 75 Provisional filing fee |
| SUBTOTAL (1) | | | (\$ 710.00) |

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|------------|
| Total Claims | 44 | -20** = 24 | X 18 = 432 |
| Independent Claims | 9 | - 3** = 6 | X 80 = 480 |
| Multiple Dependent | | | = 0 |

**or number previously paid, if greater, For Reissues, see below

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|---|
| 103 | 22 | 203 11 Claims in excess of 20 |
| 102 | 82 | 202 41 Independent claims in excess of 3 |
| 104 | 270 | 204 135 Multiple dependent claim, if not paid |
| 109 | 82 | 209 41 ** Reissue independent claims over original patent |
| 110 | 22 | 210 11 ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | |
| (\$ 912.00) | | |

3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|------------------------------------|----------------------------|---|------------------------|
| 105 | 130 | 205 65 Surcharge - late filing fee or oath | 0.00 |
| 127 | 50 | 227 25 Surcharge - late provisional filing fee or cover sheet. | 0.00 |
| 139 | 130 | 139 130 Non-English specification | 0.00 |
| 147 | 2,520 | 147 2,520 For filing a request for reexamination | 0.00 |
| 112 | 920* | 112 920* Requesting publication of SIR prior to Examiner action | 0.00 |
| 113 | 1,840* | 113 1,840* Requesting publication of SIR after Examiner action | 0.00 |
| 115 | 110 | 215 55 Extension for reply within first month | 0.00 |
| 116 | 400 | 216 200 Extension for reply within second month | 0.00 |
| 117 | 950 | 217 475 Extension for reply within third month | 0.00 |
| 118 | 1,510 | 218 755 Extension for reply within fourth month | 0.00 |
| 128 | 2,060 | 228 1,030 Extension for reply within fifth month | 0.00 |
| 119 | 310 | 219 155 Notice of Appeal | 0.00 |
| 120 | 310 | 220 155 Filing a brief in support of an appeal | 0.00 |
| 121 | 270 | 221 135 Request for oral hearing | 0.00 |
| 138 | 1,510 | 138 1,510 Petition to institute a public use proceeding | 0.00 |
| 140 | 110 | 240 55 Petition to revive - unavoidable | 0.00 |
| 141 | 1,320 | 241 660 Petition to revive - unintentional | 0.00 |
| 142 | 1,320 | 242 660 Utility issue fee (or reissue) | 0.00 |
| 143 | 450 | 243 225 Design issue fee | 0.00 |
| 144 | 670 | 244 335 Plant issue fee | 0.00 |
| 122 | 130 | 122 130 Petitions to the Commissioner | 0.00 |
| 123 | 50 | 123 50 Petitions related to provisional applications | 0.00 |
| 126 | 240 | 126 240 Submission of Information Disclosure Stmt | 0.00 |
| 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties) | 0.00 |
| 146 | 790 | 246 395 Filing a submission after final rejection (37 CFR 1.129(a)) | 0.00 |
| 149 | 790 | 249 395 For each additional invention to be examined (37 CFR 1.129(b)) | 0.00 |
| Other fee (specify) _____ | | | 0.00 |
| Other fee (specify) _____ | | | 0.00 |
| * Reduced by Basic Filing Fee Paid | | | SUBTOTAL (3) (\$ 0.00) |

SUBMITTED BY

| | | |
|-----------------------|---|---|
| Typed or Printed Name | Mark S. Matkin | Complete (if applicable) |
| Signature |  | Reg. Number 32,268 Deposit Account User ID |

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